



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#194DS  
2/12/2  
Curles

In re patent application of

K. Asakawa, et al.

Group Art Unit: 2814

Serial No. 09/497,499

Examiner: Louie, W.

Filed February 4, 2000

For: SEMICONDUCTOR DEVICE AND METHODS OF FABRICATING THE SAME

Honorable Commissioner of  
Patents and Trademarks,  
Washington, D.C. 20231

Date: January 22, 2002

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed please find an Information Disclosure Statement as well as references for consideration under 37 C.F.R. §1.97(c). Permission is hereby given to charge Deposit Account 50-0238 for the fee required under 37 C.F.R. §1.17(p).

In the event that there are any outstanding matters remaining in the present application, the Examiner is invited to contact William S. Francos, Esq. (Reg. No. 38,456) at (610) 375-3513 to discuss these matters.

If necessary, the Commissioner is hereby authorized in this, concurrent, and further replies to charge payment or credit any overpayment to Deposit Account Number 50-0238.

01/25/2002 MWOLDER1 00000179 500238 09497499

01 FC:126 180.00 CH

RECEIVED  
FEB -4 2002  
U.S. MAIL ROOM  
T-288

50-0238 for any additional fees under 37 C.F.R. §1.16 or under 37 C.F.R. §1.17.

Respectfully submitted on behalf of:

K. Asakawa, et al.



William S. Francos, Esq.  
Reg. No. 38,456

Date: January 22, 2002

VOLENTINE FRANCOS, P.L.L.C.  
12200 SUNRISE VALLEY DRIVE  
SUITE 150  
RESTON, VA 20191  
Phone: (703) 715-0870  
Facsimile: (703) 715-0877

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
OKI.147

In Re Application Of:

K. Asakawa, et al.

Serial No. 09/497,499	Filing Date 02/04/2000	Examiner W. Louie	Group Art Unit 2814
Title: SEMICONDUCTOR DEVICE AND METHODS OF FABRICATING THE SAME			



Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

RECEIVED  
FEB -4 2002

TC 2800 MAIL ROOM

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
OKI.147

In Re Application:

K. Asakawa, et al.

Serial No.

09/497,499

Filing Date

02/04/2000

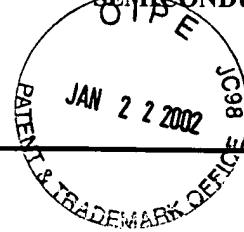
Examiner

W. Louie

Group Art Unit

2814

**SEMICONDUCTOR DEVICE AND METHODS OF FABRICATING THE SAME**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0238 as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of *the fee under 37 CFR 1.17(p)*.
- Credit any overpayment.
- Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F)

(Date)

*Signature*

*Typed or Printed Name of Person Signing Certificate*

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Certificate*

\*This certificate may only be used if paying by deposit account.

*William S. Francos*  
Signature

William S. Francos, Esq. (Reg. No.: 38,456)

VOLENTINE FRANCOS, PLLC

12200 SUNRISE VALLEY DRIVE

SUITE 150

RESTON, VA 20191

TEL.: (703) 715-0870

FAX.: (703) 715-0877

CC:

Dated: 01/22/2002

RECEIVED  
FEB - 4 2002  
TC 2800 MAIL ROOM